FundsNetwork™

Top-up form for trust accounts

How to fill in this form:

- Please use black ink and write clearly inside the boxes using capital letters.
- If you make a mistake, please correct it but don't use correction fluid.
- **PLEASE NOTE: Any applications received that are not completed correctly may incur delays or may have to be returned to you.**
- This application is to top up trust accounts. If you want to open a trust for the first time please use the correct form.

What's next?

Please send your completed form, and relevant application form to your Intermediary or to

Fidelity
PO Box 391
Tadworth KT20 9FU

1 Trustee details

The account you are looking to add money to

Existing account number

☐☐☐☐☐☐☐☐☐☐

Please enter the details of the Trustee(s) here.

Name of trustee

Name of trustee

Name of trustee

Name of trustee

Legal Entity Identifier (Please note your identifier in the boxes provided.)

From 3 January 2018 you will need to give us a Legal Entity Identifier (LEI) if you are going to buy, sell or switch into or out of exchange traded instruments, such as investment trusts, exchange traded assets and company shares. For more information in how to apply for an LEI, please go to fca.org.uk and search for 'LEI update'. If you have more than one LEI, please include details with this form. We may need to contact you for further information.

2 Where is the payment coming from?

☐ Trustee bank account

☐ Donor/Settlor

☐ Other (please specify):

TopUpforTrustInt_Alt /09.20/v11.0/ **M2**

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2 Where is the payment coming from? (continued)

For individuals making payment please provide their existing Fidelity account number or complete the About the Payer section below.

Account number

We accept cheques payable to Fidelity. If you are sending a bankers draft or building society cheque please ensure that the back of the cheque states the name of the company or scheme account to be debited. This should be endorsed with the stamp of the bank/building society and signed by the bank official adding the endorsement. If you are making payment by bank transfer please ensure you complete a telegraphic transfer form available at **fidelity.co.uk** and make payment once you have submitted the application. We may need to request additional information and verification documents for the payer.

2 About the payer

If you are not an existing account holder and will be making the payment please complete this section.

Title

Surname

First and other names in full

Address – if different to Account Holder. 'Care' and PO Box are not acceptable. Only UK addresses are eligible unless you are a Crown Employee or the spouse/civil partner of a Crown Employee.

House number/name

Street, city, county and country

Postcode

Date of birth (DDMMYYYY)

Town of birth

Country of birth

Are you a resident in the UK for tax purposes? If yes please mark this box

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields

First country

First country tax identifier

I do not hold a tax identifier for this residency ☐ If correct please mark an X in this box

Additional country

Additional country tax identifier

I do not hold a tax identifier for this residency ☐ If correct please mark an X in this box

TopUpforTrustInt_Alt /09.20/v11.0/ **M2**

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3 About the payer (continued)

Employment status

☐ Employed ☐ Self-employed ☐ Unemployed ☐ Full-time education

☐ Pensioner ☐ Caring for children under 16 ☐ Other – please specify below.

Source of this investment

☐ Income from salary ☐ Divorce Settlement ☐ Sale of Property ☐ Inheritance

☐ Gift ☐ Sale of Investment/transfer ☐ Savings from income ☐ Other – please specify below

4 Investment details

Please use the following table to tell us which assets the trust would like to invest in. It's important to write the correct asset code and name clearly inside the boxes using capital letters – we use the code to determine your asset choice. Asset codes can change so please ensure you enter the correct code by visiting fidelity.co.uk

If you have chosen income funds we will follow your existing preferences and make payments to the bank mandate details we already hold.

Asset code	Asset name	Lump sum (£)
		.
		.
		.
		.
		.
		.
		.
		.
		.
		.
		.
		.
	Total (£)	.

TopUpforTrustInt_Alt /09.20/v11.0/ **M2**

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5 Intermediary details – to be completed by the adviser (if applicable)

Company stamp

Unique Adviser Number

FCA number
I confirm that I am registered with the FCA to conduct business and my authorisation number is:

Remuneration details

Have you provided a personal recommendation?
(You must complete either the Yes or No box)

☐ Yes – This option would default your remuneration type to Fee and override any alternate remuneration choice

☐ No

Please note these assets will be added to your client's fee account and if Adviser Ongoing Fee has previously been setup this will be automatically applied.

An Adviser Ongoing Fee cannot be applied to this type of investment using an application form. If you would like to setup an Adviser Ongoing Fee this must be done online once the assets have been received.

Verification of identity

☐ I/We confirm and consent to Fidelity's reliance on the fact that I/we have verified all parties to this application in accordance with the UK Money Laundering Regulations and standards set in guidance issued by the JMLSG and will retain the supporting documentation for 5 years after the end of the relationship with the client.

This confirmation must carry an original signature or electronic equivalent.

Your signature

Intermediary signature

Date signed

(DDMMYYYY)

TopUpforTrustInt_Alt /09.20/v11.0/ **M2**

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6 Declaration and signature

Each trustee must sign the following declaration.

I/We understand that the information I/We provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the Fidelity Client Terms and the FundsNetwork Client Terms.

I/We declare that:

- **Application is for a trust that is a Passive Non Financial Entity under the International Tax Compliance Regulations 2015**
- **I am not a US citizen, that I am not resident in the US, and that I do not have an obligation to pay tax to the US tax authorities on my worldwide income.**
- I have read the latest Key Features Document, either Doing Business with Fidelity or Doing Business with FundsNetwork.
- I have read the latest key information document for the assets that the trust is investing in.
- I have read the latest illustration document.
- I accept the Fidelity Client Terms or the FundsNetwork Client Terms.
- The information I have given is correct to the best of my knowledge, and I will tell Fidelity immediately if any of it changes.

Signature of first trustee

Print name

Signature of second trustee

Print name

Signature of third trustee

Print name

Signature of fourth trustee

Print name

Date

TopUpforTrustInt_Alt /09.20/v11.0/ **M2**

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