Bare Trust Application for an Investment Account for a child

Use this form to make a gift to a child. Your gift will be held in an Investment Account under bare trust.

How to fill in this form:

- Please use black ink and write clearly inside the boxes using capital letters.
- If you make a mistake, please correct it but don't use correction fluid.
- This form has space for details of two trustees. If there are more than two, please copy the section to complete additional details and all trustees must sign the form – up to a maximum of four trustees
- This form has space for details of one donor. If there is more than one donor, please copy the section to complete additional details – you can copy the form as often as you need to.

What you need to know about setting up this account

- If there is more than one trustee, it will be a joint account. We will treat the first trustee named on this form as the primary account holder and address all communications to them.
- The person making the gift to the child, known as the donor, can also be a trustee but doesn't have to be.
- To help us protect you from fraud we need to check your identity (this is also part of our anti-money laundering obligations). We can usually do this using an electronic verification system with the information provided. This will create a record on your credit report, which will only be visible to you and will not affect your credit score. If we cannot verify your identity in this way, we may ask to see identity documents before you can invest.
- We will register the Investment Account in the name(s) of the trustee(s) of the bare trust.
- Evidence of the Trust's HMRC Trust Registration Certificate or exemption from registration will be required within 90 days of opening your account.

Who can make Payments?

- Payment from the trustees;
- A trust bank account;
- A solicitor's client account if professionally acting for the trust.

We can accept a cheque or completed Telegraphic Transfer form for electronic payments; we will contact you or your adviser with our bank details on receipt of the application, please do not transfer payment to us before hand.

What's next?

Please send your completed application form to:

Fidelity
PO Box 391
Tadworth KT20 9FU

We will open the trust account and send a confirmation of any lump-sum investments or Direct Debits.

Checklist of documents you should include with your completed form

Please register your trust with HMRC's Trust Registration Service and provide the certificate of registration with this application form or no later than 90 days of submitting this application form.

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1 About you – first trustee

Title

Surname

First and other names in full

Fidelity Account Number or Customer Reference Number (if you already have an account with us)

Address This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.

House number and/or name

Street, city, county and country details

Postcode

Telephone number (in case we need to check anything)

Mobile

Email

Date of birth (DDMMYYYY)

National Insurance number
It is two letters and six digits, followed by A, B, C or D.

No National Insurance number?
If you have never been issued with a National Insurance number, please mark an X in the box.

Are you a UK National only? (please mark an X in the box)

Are you a UK National and National of one or more other countries?
(mark an X in the box and list all other countries below)

Are you a National of Non-UK countries only?
(tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box)

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields

First country

First country tax identifier

Additional country

Additional country tax identifier

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1 About you – first trustee (continued)

DETAILS BELOW TO BE COMPLETED ONLY IF THE FIRST TRUSTEE IS ALSO THE PERSON WHO IS PAYING FOR THE INVESTMENT (the donor):

Town of birth

Country of birth

Employment status

- ☐ Employed
- ☐ Self-employed
- ☐ Unemployed
- ☐ Full-time education
- ☐ Pensioner
- ☐ Caring for children under 16
- ☐ Other - please specify below.

Source of this investment

- ☐ Income from salary
- ☐ Divorce Settlement
- ☐ Sale of Property
- ☐ Inheritance
- ☐ Gift
- ☐ Sale of Investment/transfer
- ☐ Savings from income
- ☐ Other (Please specify)

2 Details of second trustee (if relevant)

Title **Surname**

First and other names in full

Fidelity Account Number or Customer Reference Number (if you already have an account with us)

Address This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.

House number and/or name

Street, city, county and country details

Postcode

Telephone number (in case we need to check anything) **Mobile**

Email

Date of birth (DDMMYYYY)

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2 Details of second trustee (if relevant) (continued)

National Insurance number
It is two letters and six digits, followed by A, B, C or D.

No National Insurance number?
If you have never been issued with a National Insurance number, please mark an X in the box. ☐

Are you a UK National only? (please mark an X in the box) ☐

Are you a UK National and National of one or more other countries? ☐
(mark an X in the box and list all other countries below)

Are you a National of Non-UK countries only? ☐
(tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box) ☐

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields

First country

First country tax identifier

Additional country

Additional country tax identifier

DETAILS BELOW TO BE COMPLETED ONLY IF THE SECOND TRUSTEE IS ALSO THE PERSON WHO IS PAYING FOR THE INVESTMENT (the donor):

Town of birth

Country of birth

Employment Status

☐ Employed ☐ Self-Employed ☐ Full-Time education ☐ Unemployed ☐ Pensioner

Source of this investment

☐ Income from salary ☐ Inheritance ☐ Savings from income

☐ Divorce Settlement ☐ Gift

☐ Sale of Property ☐ Sale of Investments/transfer

☐ Other (Please specify)

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3 About the child you are investing for

Title

Surname

First and other names in full

Date of birth (DDMMYYYY)

Address - if different to Account Holder. 'Care' and PO Box are not acceptable. Only UK addresses are eligible unless you are a Crown Employee or the spouse/civil partner of a Crown Employee.

House number/name

Street, city, county and country

Postcode

National Insurance number
It is two letters and six digits, followed by A, B, C or D.

No National Insurance number?
If you have never been issued with a National Insurance number, please mark an X in the box.

Are you a UK National only? (please mark an X in the box)

Are you a UK National and National of one or more other countries?
(mark an X in the box and list all other countries below)

Are you a National of Non-UK countries only?
(tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box)

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields

First country

First country tax identifier

Additional country

Additional country tax identifier

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4 About the investor or donor (if they are not a trustee)

Title

Surname

First and other names in full

Address – if different to Account Holder. 'Care' and PO Box are not acceptable. Only UK addresses are eligible unless you are a Crown Employee or the spouse/civil partner of a Crown Employee.

House number/name

Street, city, county and country

Postcode

Date of birth (DDMMYYYY)

Town of birth

Country of birth

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box) ☐

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields

First country

First country tax identifier

Additional country

Additional country tax identifier

Employment status

☐ Employed ☐ Self-employed ☐ Unemployed ☐ Full-time education

☐ Pensioner ☐ Caring for children under 16 ☐ Other - please specify below.

Source of this investment

☐ Income from salary ☐ Divorce Settlement ☐ Sale of Property ☐ Inheritance

☐ Gift ☐ Sale of Investment/transfer ☐ Savings from income

☐ Other - please specify:

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5 Investment details

Any Regular Savings Plan (RSP) details given in this section will override any existing RSP details for the account.
Monthly Minimum: £25 per asset and £50 asset per application.

There is a minimum lump sum investment of £1000.

Please use the following table to tell us which assets the trust would like to invest in. It's important to write the correct asset code and name clearly inside the boxes using capital letters – we use the code to determine your asset choice. Asset codes can change so <u>please ensure you enter the correct code by visiting fidelity.co.uk</u>

Asset code	Asset name	Lump sum (£)	Regular Payments (£)
		.	.
		.	.
		.	.
		.	.
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		.	.
		.	.
		.	.
		.	.
		.	.
		.	.
	Total	.	.

If you have selected Income assets, would you like your income to be paid out?

☐ If yes please mark this box and provide your bank details under section 6 for your income to be credited

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6 Bank account details

Any bank account details given in this section will override existing bank details that we may hold for you.

This Section must be completed and will be used for:

- Any regular saving plans.
- Any future redemption payment.
- Paying income out of any selected investments.

Fidelity INTERNATIONAL

Instruction to your bank or building society to pay by Direct Debit

DIRECT Debit

Please fill in the whole form using a ballpoint pen and send to:
Fidelity, PO Box 391, Tadworth KT20 9FU

Name and full postal address of your bank or building society

To: The Manager Bank/building society

Address

Postcode

Name(s) of account holder(s)

Bank/building society account number

Branch sort code

Service User Number

6	2	4	2	3	2

Reference

Instruction to your bank or building society
Please pay Financial Administration Services Ltd Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Financial Administration Services Ltd and, if so, details will be passed electronically to my bank/building society.

Signature(s)

Date

Banks and building societies may not accept Direct Debit instructions for some types of account

DDI2

For a Building Society account please provide the following additional information to receive direct credit payments.

Building Society Collection Account Number (if applicable) *

* Building Society accounts – the building society collection account number can be obtained from your Building Society branch. Please ensure that your Building Society account will accept direct credit payments through the Banks Automated Clearing system. Fidelity does not accept instructions for payments to be made to an account other than the client's own personal account. If the account number and sort code are incorrect, Fidelity will not accept responsibility for any loss incurred by the applicant.

7 Intermediary details - to be completed by the intermediary (if applicable)

Company stamp

Unique Adviser Number

☐☐☐☐☐☐☐

FCA number
I confirm that I am registered with the FCA to conduct business and my authorisation number is:

☐☐☐☐☐☐☐☐☐☐☐☐

Remuneration details

Have you provided a personal recommendation?
(You must complete either the Yes or No box)

☐ Yes – This option would default your remuneration type to Fee and override any alternate remuneration choice

☐ No

Please note these assets will be added to your client's fee account and if Adviser Ongoing Fee has previously been setup this will be automatically applied.

An Adviser Ongoing Fee cannot be applied to this type of investment using an application form. If you would like to setup an Adviser Ongoing Fee this must be done online once the assets have been received.

Verification of identity

☐ I/We confirm and consent to Fidelity's reliance on the fact that I/we have verified all parties to this application in accordance with the UK Money Laundering Regulations and standards set in guidance issued by the JMLSG and will retain the supporting documentation for 5 years after the end of the relationship with the client.

This confirmation must carry an original signature or electronic equivalent.

Your signature

Intermediary signature

Date signed

☐☐☐☐☐☐☐☐ **(DDMMYYYY)**

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8 Declaration and signature

Each trustee must sign the following declaration.

I understand that the information I provide on this form will be processed in accordance with Fidelity's data protection statement contained in the FundsNetwork Client Terms and the Fidelity Client Terms.

I understand that such information will be held in confidence and not passed to any company other than as outlined without our permission or unless required by law.

I declare that:

- **This application is to open a trust that is a Passive Non-Financial Entity under the International Tax Compliance (Crown Dependencies and Gibraltar) Regulations 2014.**
- **I am not a US citizen, am not resident in the US, and do not have an obligation to pay tax to the US authorities on my worldwide income.**
- I have read the Key Features Document – either Doing Business with FundsNetwork or Doing Business with Fidelity.
- I have read the latest key information document for the assets the trust is investing in.
- I have read the latest illustration document.
- I accept the FundsNetwork Client Terms or the Fidelity Client Terms.
- The information I have given is correct to the best of my knowledge and I will tell Fidelity immediately if any of it changes.
- Any amounts I pay into the account constitute an irrevocable gift to be held in Bare Trust for the benefit of the child named on this form.

Signature of first trustee

Print name

Signature of second trustee

Print name

Signature of third trustee

Print name

Signature of fourth trustee

Print name

Date signed

(DDMMYYYY)

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