# Trust application form – Investment Account

**You should use this form to open an Investment Account on behalf of a trust.**

## How to fill in this form:

- Please use black ink and write clearly inside the boxes using capital letters.
- Please only complete the beneficiary details section and nationality questions for Trustees if this relates to a Bare trust.
- If you make a mistake, please correct it but don't use correction fluid.

## What you need to know about setting up this account

- You will need to give us details of all the trustees. If there is more than one, we will set it up as a joint account. The trustee named first on this form will be the principal account holder and will receive all communications about the account.
- We need to see the document that established the trust, typically the trust deed. This is evidence of beneficial ownership and the identity of the trust's controllers. If you do not have the necessary document, please call us on **0800 902 902.**
- We cannot set an account up in the name of the trust, but you can give the account a designation to distinguish it from any other accounts you have with us.
- To help us protect you from fraud we need to check your identity (this is also part of our anti-money laundering obligations). We can usually do this using an electronic verification system with the information provided. This will create a record on your credit report, which will only be visible to you and will not affect your credit score. If we cannot verify your identity in this way, we may ask to see identity documents before you can invest.

## Who can make Payments?

- Payment from the trustees;
- A trust bank account;
- A solicitor's client account if professionally acting for the trust.

We can accept a cheque or completed Telegraphic Transfer form for electronic payments; we will contact you or your adviser with our bank details on receipt of the application, please do not transfer payment to us before hand.

## What's next?

Please send your completed application form to:

**Fidelity**
**PO Box 391**
**Tadworth KT20 9FU**

We will open the trust account and send a confirmation of any lump-sum investments or Direct Debits.

**Checklist of documents you should include with your completed form**

Please note that if you send photocopies of any of the following identity documents, you must have them certified first.

☐ The trust deed showing the name of the trust and everyone associated with it. This should be an extract from the initial or definitive deed.

☐ In the case of trust set up in a will, the original grant of probate and the will itself. If the investment is coming from an estate and there was no will, you should send the letter of administration, issued by the probate service.

☐ An Individual Self Certification of Tax Residency form for each settlor, appointer, protector or absolute beneficiary, apart from any trustees or beneficiaries named below.

☐ HMRC Certificate of Registration ☐ HMRC Registration not required

## 1 About the trust

**Name of trust**

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This is for our records only. We will register the account in the name of the trustees.

**Account designation**

This will help distinguish the account from any other accounts the trustees may have with us. It cannot include the word 'trust'.

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## 1 About the trust (continued)

**Address** We cannot accept PO Box addresses, but you can use the residential address of one of the trustees. We need it for tax reporting purposes only and will not use it for correspondence, unless it is the address of the first trustee named below.

House number and/or name

Street, city, county and country details

Postcode

**Country in which the trust is resident for tax purposes**

(If the trust has more than one country of tax residence, please provide details on a separate page, including a tax identification number for each country.)

**Tax identification number for country of tax residency**

**No tax identification number?**
(Please mark an X in the box) ☐

**Legal Entity Identifier** (Please note your identifier in the boxes provided.)

From 3 January 2018 you will need to give us a Legal Entity Identifier (LEI) if you are going to buy, sell or switch into or out of exchange traded instruments, such as investment trusts, exchange traded assets and company shares. For more information in how to apply for an LEI, please go to fca.org.uk and search for 'LEI update'. If you have more than one LEI, please include details with this form. We may need to contact you for further information.

## 2 Details of the first trustee

**Title** **Surname**

**First and other names in full**

**Fidelity Account Number or Customer Reference Number** (if you already have an account with us)

**Address** This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.

House number and/or name

Street, city, county and country details

Postcode

**Telephone number** (in case we need to check anything) **Mobile**

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## 2 Details of first trustee (continued)

**Email**

**National Insurance number**
It is two letters and six digits, followed by A, B, C or D.

**No National Insurance number?**
If you have never been issued with a National Insurance number, please mark an X in the box. ☐

**Date of birth (DDMMYYYY)**

**Are you also a resident in the UK for tax purposes?** (If yes, please mark an X in the box) ☐

**Are you also a resident in any other country(s) for tax purposes?** If so please complete the following fields:

**First country**

**First country tax identifier**

**Additional country**

**Additional country tax identifier**

**Are you a UK National only?** (please mark an X in the box) ☐

**Are you a UK National and National of one or more other countries?** ☐
(mark an X in the box and list all other countries below)

**Are you a National of Non-UK countries only?** ☐
(tick box and list all other countries below)

**Nationality 1**

**Nationality 2**

**Nationality 3**

**Nationality 4**

**DETAILS BELOW TO BE COMPLETED ONLY IF THE FIRST TRUSTEE IS ALSO A SETTLOR/DONOR:**

**Town of Birth**

**Country of Birth**

**Employment Status**

☐ Employed ☐ Self-Employed ☐ Full-Time education ☐ Unemployed ☐ Pensioner

☐ Caring for children under 16 ☐ Other - please specify below.

Other – please specify:

**Source of Income**

☐ Income from salary ☐ Divorce Settlement ☐ Gift ☐ Sale of Investment/transfer

☐ Savings from income ☐ Sale of Property ☐ Inheritance

Other – please specify:

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## 3 Details of second trustee (if applicable)

**Title** **Surname**

**First and other names in full**

**Fidelity Account Number or Customer Reference Number** (if you already have an account with us)

**Address** This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.

House number and/or name

Street, city, county and country details

Postcode

**Telephone number** (in case we need to check anything) **Mobile**

**Email**

**National Insurance number**
It is two letters and six digits, followed by A, B, C or D.

**No National Insurance number?**
If you have never been issued with a National Insurance number, please mark an X in the box.

**Date of birth (DDMMYYYY)**

**Are you also a resident in the UK for tax purposes?** (If yes, please mark an X in the box)

**Are you also a resident in any other country(s) for tax purposes?** If so please complete the following fields:

**First country** **First country tax identifier**

**Additional country** **Additional country tax identifier**

**Are you a UK National only?** (please mark an X in the box)

**Are you a UK National and National of one or more other countries?**
(mark an X in the box and list all other countries below)

**Are you a National of Non-UK countries only?**
(tick box and list all other countries below)

**Nationality 1** **Nationality 2**

**Nationality 3** **Nationality 4**

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## 3 Details of second trustee (continued)

**DETAILS BELOW TO BE COMPLETED ONLY IF THE SECOND TRUSTEE IS ALSO A SETTLOR/DONOR:**

**Town of Birth**

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**Country of Birth**

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**Employment Status**

☐ Employed ☐ Self-Employed ☐ Full-Time education ☐ Unemployed ☐ Pensioner

☐ Caring for children under 16 ☐ Other - please specify below.

Other – please specify: ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

**Source of Income**

☐ Income from salary ☐ Divorce Settlement ☐ Gift ☐ Sale of Investment/transfer

☐ Savings from income ☐ Sale of Property ☐ Inheritance

Other – please specify: ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

## 4 Details of third trustee (if applicable)

**Title** ☐☐☐☐ **Surname** ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

**First and other names in full**

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**Fidelity Account Number or Customer Reference Number** (if you already have an account with us)

☐☐☐☐☐☐☐☐☐☐

**Address** This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.

House number and/or name

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Street, city, county and country details

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**Telephone number** (in case we need to check anything) ☐☐☐☐☐☐☐☐☐☐☐

**Mobile** ☐☐☐☐☐☐☐☐☐☐☐

**Email**

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**National Insurance number**
It is two letters and six digits, followed by A, B, C or D.

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**No National Insurance number?**
If you have never been issued with a National Insurance number, please mark an X in the box. ☐

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## 4 Details of third trustee (continued)

**Date of birth (DDMMYYYY)**

**Are you also a resident in the UK for tax purposes?** (If yes, please mark an X in the box) ☐

**Are you also a resident in any other country(s) for tax purposes?** If so please complete the following fields:

**First country**

**First country tax identifier**

**Additional country**

**Additional country tax identifier**

**Are you a UK National only?** (please mark an X in the box) ☐

**Are you a UK National and National of one or more other countries?** ☐
(mark an X in the box and list all other countries below)

**Are you a National of Non-UK countries only?** ☐
(tick box and list all other countries below)

**Nationality 1**

**Nationality 2**

**Nationality 3**

**Nationality 4**

**DETAILS BELOW TO BE COMPLETED ONLY IF THE THIRD TRUSTEE IS ALSO A SETTLOR/DONOR:**

**Town of Birth**

**Country of Birth**

**Employment Status**

☐ Employed ☐ Self-Employed ☐ Full-Time education ☐ Unemployed ☐ Pensioner

☐ Caring for children under 16 ☐ Other - please specify below.

Other – please specify:

**Source of Income**

☐ Income from salary ☐ Divorce Settlement ☐ Gift ☐ Sale of Investment/transfer

☐ Savings from income ☐ Sale of Property ☐ Inheritance

Other – please specify:

## 5 Details of fourth trustee (if applicable)

**Title**

**Surname**

**First and other names in full**

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## 5 Details of fourth trustee (if applicable) continued

**Fidelity Account Number or Customer Reference Number** (if you already have an account with us)

**Address** This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.

House number and/or name

Street, city, county and country details

Postcode

**Telephone number** (in case we need to check anything)

**Mobile**

**Email**

**National Insurance number**
It is two letters and six digits, followed by A, B, C or D.

**No National Insurance number?**
If you have never been issued with a National Insurance number, please mark an X in the box. ☐

**Date of birth (DDMMYYYY)**

**Are you also a resident in the UK for tax purposes?** (If yes, please mark an X in the box) ☐

**Are you also a resident in any other country(s) for tax purposes?** If so please complete the following fields:

**First country**

**First country tax identifier**

**Additional country**

**Additional country tax identifier**

**Are you a UK National only?** (please mark an X in the box) ☐

**Are you a UK National and National of one or more other countries?** ☐
(mark an X in the box and list all other countries below)

**Are you a National of Non-UK countries only?** ☐
(tick box and list all other countries below)

**Nationality 1**

**Nationality 2**

**Nationality 3**

**Nationality 4**

**DETAILS BELOW TO BE COMPLETED ONLY IF THE FOURTH TRUSTEE IS ALSO A SETTLOR/DONOR:**

**Town of Birth**

**Country of Birth**

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## 5 Details of fourth trustee (if applicable) continued

**Employment Status**

☐ Employed ☐ Self-Employed ☐ Full-Time education ☐ Unemployed ☐ Pensioner

☐ Caring for children under 16 ☐ Other - please specify below.

Other – please specify:

**Source of Income**

☐ Income from salary ☐ Divorce Settlement ☐ Gift ☐ Sale of Investment/transfer

☐ Savings from income ☐ Sale of Property ☐ Inheritance

Other – please specify:

## 6 Details of the first beneficiary (if applicable)

**Title** **Surname**

**First and other names in full**

**Fidelity Account Number or Customer Reference Number** (if you already have an account with us)

**The nature and extent of the individual's beneficial interest** . %

**Address** This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.

House number and/or name

Street, city, county and country details

Postcode

**Telephone number** (in case we need to check anything)

**Email**

**National Insurance number**
It is two letters and six digits, followed by A, B, C or D.

**No National Insurance number?**
If you have never been issued with a National Insurance number, please mark an X in the box. ☐

**Date of birth (DDMMYYYY)**

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## 6 Details of the first beneficiary (if applicable) (continued)

**Are you also a resident in the UK for tax purposes?** (If yes, please mark an X in the box) ☐

**Are you also a resident in any other country(s) for tax purposes?** If so please complete the following fields:

**First country**

**First country tax identifier**

**Additional country**

**Additional country tax identifier**

**Are you a UK National only?** (please mark an X in the box) ☐

**Are you a UK National and National of one or more other countries?** ☐
(mark an X in the box and list all other countries below)

**Are you a National of Non-UK countries only?** ☐
(tick box and list all other countries below)

**Nationality 1**

**Nationality 2**

**Nationality 3**

**Nationality 4**

## 7 Details of the second beneficiary (if applicable)

**Title**

**Surname**

**First and other names in full**

**Fidelity Account Number or Customer Reference Number** (if you already have an account with us)

**The nature and extent of the individual's beneficial interest** ___ . __ %

**Address** This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.

House number and/or name

Street, city, county and country details

Postcode

**Telephone number** (in case we need to check anything)

**Email**

**National Insurance number**
It is two letters and six digits, followed by A, B, C or D.

**No National Insurance number?**
If you have never been issued with a National Insurance number, please mark an X in the box. ☐

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## 7 Details of the second beneficiary (if applicable) (continued)

**Date of birth (DDMMYYYY)**

**Are you also a resident in the UK for tax purposes?** (If yes, please mark an X in the box)

**Are you also a resident in any other country(s) for tax purposes?** If so please complete the following fields:

**First country**

**First country tax identifier**

**Additional country**

**Additional country tax identifier**

**Are you a UK National only?** (please mark an X in the box)

**Are you a UK National and National of one or more other countries?**
(mark an X in the box and list all other countries below)

**Are you a National of Non-UK countries only?**
(tick box and list all other countries below)

**Nationality 1**

**Nationality 2**

**Nationality 3**

**Nationality 4**

## 8 About the settlor or donor (if they are not a trustee)

**Title**

**Surname**

**First and other names in full**

**Address -** if different to Account Holder. 'Care' and PO Box are not acceptable. Only UK addresses are eligible unless you are a Crown Employee or the spouse/civil partner of a Crown Employee.

House number/name

Street, city, county and country

Postcode

**Date of birth (DDMMYYYY)**

**Town of birth**

**Country of Birth**

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## 8 About the settlor or donor (if they are not a trustee) (continued)

**Are you also a resident in the UK for tax purposes?** (If yes, please mark an X in the box) ☒

**Are you also a resident in any other country(s) for tax purposes?** If so please complete the following fields:

**First country**

**First country tax identifier**

**Additional country**

**Additional country tax identifier**

**Employment Status**

☐ Employed ☐ Self-Employed ☐ Full-Time education ☐ Unemployed ☐ Pensioner

☐ Caring for children under 16 ☐ Other - please specify below.

Other – please specify:

**Source of Income**

☐ Income from salary ☐ Divorce Settlement ☐ Gift ☐ Sale of Investment/transfer

☐ Savings from income ☐ Sale of Property ☐ Inheritance

Other – please specify:

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## 9 Investment details

**Monthly Minimum: £25 per asset and £50 per application.**

If you are investing monthly you must complete the Direct Debit mandate in Section 10.

For a lump sum investment you should enclose a cheque made payable to Fidelity. Payments should be drawn from a sterling denominated UK bank account held in the name of Trust or Trustees and will be accepted following full verification of the trustees and beneficiaries in compliance with our regulatory obligations.

**Please use the following table to tell us which assets the trust would like to invest in. It's important to write the correct asset code and name clearly inside the boxes using capital letters – we use the code to determine your asset choice. Asset codes can change so please ensure you enter the correct code by visiting fidelity.co.uk**

| Asset code | Asset name | Lump sum (£) | Regular payments (£) |
|---|---|---|---|
| | | . | |
| | | . | |
| | | . | |
| | | . | |
| | | . | |
| | | . | |
| | | . | |
| | | . | |
| | | . | |
| | | . | |
| | | . | |
| | | . | |
| | Total (£) | . | |

**If you have selected Income assets, would you like your income to be paid out?**

☐ If yes please mark this box and provide your bank details under section 10 for your income to be credited

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## 10 Mandate details

**Any bank details given in this section will override any existing Trust bank details previously provided for this Trust.**

**This Section must be completed and will be used for:**

- Any regular saving plans

Fidelity INTERNATIONAL

Instruction to your bank or building society to pay by Direct Debit

DIRECT Debit

Please fill in the whole form using a ballpoint pen and send to:
Fidelity, PO Box 391, Tadworth, KT20 9FU.

**Name and full postal address of your bank or building society**

To: The Manager — Bank/building society

Address

Postcode

**Name(s) of account holder(s)**

**Bank/building society account number**

**Branch sort code**

**Service User Number**

| 6 | 2 | 4 | 2 | 3 | 2 |
|---|---|---|---|---|---|

**Reference**

**Instruction to your bank or building society**
Please pay Financial Administration Services Ltd Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Financial Administration Services Ltd and, if so, details will be passed electronically to my bank/building society.

Signature(s)

Date

Banks and building societies may not accept Direct Debit Instructions for some types of account

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## 10 Mandate details - Income or cash withdrawals

Please provide bank details to which income payments (if required) and withdrawals should be paid. Please specify by marking an X in the relevant box in Section 9.

**This Section must be completed and will be used for:**

- Paying income out of any selected investments
- Paying any future withdrawals

**Name(s) of account holder(s)**

**Bank/building society account number**

**Name and full postal address of your bank or building society**

To: The Manager

Bank/building society

Address

Postcode

**Branch sort code**

**Building Society Collection Account Number (if applicable) ***

* Building Society accounts – the sort code and building society collection account number can be obtained from your Building Society branch. Please ensure that your Building Society account will accept direct credit payments through the Banks Automated Clearing system. Fidelity will only accept instructions for payments to be made to an account in the name of the Trust, Trustees and specifically named beneficiaries (once positively verified). If the account number and sort code are incorrect, Fidelity will not accept responsibility for any loss incurred by the applicant.

## 11 Intermediary details - to be completed by the adviser (if applicable)

**Company stamp**

**Unique Adviser Number**

**FCA number**
I confirm that I am registered with the FCA to conduct business and my authorisation number is:

### Remuneration details

**Have you provided a personal recommendation?**
(You must complete either the Yes or No box)

☐ Yes – This option would default your remuneration type to Fee and override any alternate remuneration choice

☐ No

Please note these assets will be added to your client's fee account and if Adviser Ongoing Fee has previously been setup this will be automatically applied. An Initial Fee cannot be applied to this type of instruction.

An Adviser Ongoing Fee cannot be applied to this type of investment using an application form. If you would like to setup an Adviser Ongoing Fee this must be done online once the assets have been received.

### Your signature

**Intermediary signature**

**Date signed**

**(DDMMYYYY)**

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## 12 Verification details for advisers (if applicable)

☐ I/We confirm and consent to Fidelity's reliance on the fact that I/we have verified all parties to this application in accordance with the UK Money Laundering Regulations and standards set in guidance issued by the JMLSG and will retain the supporting documentation for 5 years after the end of the relationship with the client.

**Please only use this section to name connected parties to the trust who are not listed as applicants**

**Name of individual**

**Connection to the trust** (delete as appropriate): **Trustee/Settlor/Beneficiary/Protector. Please also complete and return Individual Self Certification of Tax Residency form**

**Your signature**

**Date**

**(DDMMYYYY)**

**Name of individual**

**Connection to the trust** (delete as appropriate): **Trustee/Settlor/Beneficiary/Protector. Please also complete and return Individual Self Certification of Tax Residency form**

**Your signature**

**Date**

**(DDMMYYYY)**

## 13 Declaration and signature

**Each trustee must sign the following declaration.**

I/We understand that the information I/We provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the Fidelity Client Terms and the FundsNetwork Client Terms.

I/We declare that:

- **Application is for a trust that is a Passive Non Financial Entity under the International Tax Compliance Regulations 2015**
- **I am not a US citizen, that I am not resident in the US, and that I do not have an obligation to pay tax to the US tax authorities on my worldwide income.**
- I have read the latest Key Features Document, either Doing Business with Fidelity or Doing Business with FundsNetwork.
- I have read the latest key information document for the assets that the trust is investing in.
- I have read the latest illustration document.
- I accept the Fidelity Client Terms or the FundsNetwork Client Terms.
- The information I have given is correct to the best of my knowledge, and I will tell Fidelity immediately if any of it changes.

**Signature of first trustee**

**Print name**

**Signature of second trustee**

**Print name**

**Signature of third trustee**

**Print name**

**Signature of fourth trustee**

**Print name**

**Date**



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