Stock Transfer Form

Fidelity INTERNATIONAL

Use this form to:

- Transfer investment between Investments Accounts

Don't use this form if:

- Transfer to be made to or from an ISA account
- Person receiving the stock lives outside UK
- Anyone involved in the transfer is below the age of 18.

1. Stock Transfer Instruction

We can only proceed with a Stock Transfer if it is one of the below categories. If your circumstance is not listed below you should not complete this form. In this case, you may want to consider selling the investment and transferring the proceeds so that the transferee can repurchase it.

Type of transfer

Please tick this box ☐ to confirm that the transfer falls into one of the following categories:

- A transfer to my spouse or civil partner.
- A transfer between accounts both of which are held in my name.
- A gift to another person or trust, for which no payment will be made.
- A part of a divorce settlement.
- A transfer following the appointment of a new trustee to a trust.
- A transfer from a trust arrangement to a beneficiary of that trust.
- A transfer from one nominee company to another which does not involve a change of beneficial ownership.

2. Transferor Details (existing holder)

Account number

☐☐☐☐☐☐☐☐☐☐

Title

☐ **Mr** ☐ **Mrs** ☐ **Ms** **Other:** ☐☐☐☐☐☐☐☐☐☐☐

Surname

☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

First and other names in full

☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

OR Company/Corporate body Name

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3. Transfer Instructions

Note that we will use the fund code/ISIN/SEDOL (not the investment name) to identify the investment you wish to transfer. The relevant fund code, ISIN or SEDOL can be found by logging in and viewing your holdings in your online account.

For Personal Investing customers only

To hold US shares a valid W-8BEN is required. When transferring US shares the recipient will need to have a valid W-8BEN in place before the transfer is requested. The recipient can complete a W-8BEN online or check the current status of a previously registered W-8BEN, by logging into their Fidelity account and clicking on Profile > Preference Centre > Investing in US shares. If a valid W-8BEN is not held by the intended recipient, we will not be able to proceed with the stock transfer.

Disclaimer: Should any of the assets pay income, we will follow your existing preferences for income payments on your account. If you are opening a new account, then income payments will be automatically paid to cash if you manage your own investments through our Personal Investing website or reinvested for you if you manage your investments through an adviser or intermediary.

- If you wish to transfer your entire account and close the account, please tick here ☐

  Note: Any regular instructions on this account will be cancelled once the account is closed.

- If you wish to transfer your entire account but the account remains open, please tick here ☐

OR

- For partial transfer - In the boxes below, please enter the details of the investment(s), the number or percentage of shares/ units that you wish to transfer or opt to transfer the entire fund holding. You cannot specify a monetary amount to transfer.

Fund Code/ISIN/SEDOL	INVESTMENT NAME	NUMBER OR % of SHARES/UNITS		Entire holding (please tick the box)
			OR	☐
			OR	☐
			OR	☐
			OR	☐
			OR	☐
			OR	☐
			OR	☐
			OR	☐
			OR	☐
			OR	☐
			OR	☐
			OR	☐
			OR	☐
			OR	☐
PCA	Cash		OR	☐

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4. Transferor Declaration

I/We understand that the information I/we provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the Client Terms.

I/We hereby transfer the above shares/units out of the name(s) aforesaid to the person(s) named below and request that such entries be made in the register as are necessary to give effect to this transfer.

The information I have given on this form is correct to the best of my knowledge and I will tell Fidelity immediately if any of it changes.

Signature(s) of ALL Transferors and full name. (All account holders of transferor account must sign, if renunciation authority is not set up on the existing account)

X PRIMARY ACCOUNT HOLDER (AUTHORISED SIGNATURE)

X PRIMARY ACCOUNT HOLDER FULL NAME

X SECOND ACCOUNT HOLDER (AUTHORISED SIGNATURE)

X SECOND ACCOUNT HOLDER FULL NAME

X THIRD ACCOUNT HOLDER (AUTHORISED SIGNATURE)

X THIRD ACCOUNT HOLDER FULL NAME

X FOURTH ACCOUNT HOLDER (AUTHORISED SIGNATURE)

X FOURTH ACCOUNT HOLDER FULL NAME

Corporate bodies should execute under their common seal, or otherwise as determined by their Memorandum and Articles of Association

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5. Transferee Details (Existing Customer)

5A. Existing client name and account number

Title

☐ **Mr** ☐ **Mrs** ☐ **Ms** **Other:**

Surname

First and other names in full

Account number

This must be the account number you wish to transfer to.
(Please DO NOT fill any details in Section 6, unless any changes required)

5B. Transferee details (Existing Customer requires new account)

Title

☐ **Mr** ☐ **Mrs** ☐ **Ms** **Other:**

Surname

First and other names in full

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5. Transferee Details (Existing Customer - continued)

Customer Reference Number

New Account Designation

If you hold an existing Investment Funds Account this Stock Transfer will be treated as a top up. If you would like the holdings to go into a new Investment Funds Account, please enter a designation of your choice to differentiate the holdings. The maximum no. of characters is 18, please note we are unable to use the word 'trust'. If transferring into a new investment account, complete section 8 if an intermediary/adviser is to be linked to the account

6. Transferee details (Please only complete this if you're transferring to a new customer)

Title

☐ **Mr** ☐ **Mrs** ☐ **Ms** **Other:**

Surname

First and other names in full

OR Company/Corporate body Name

Your address – ("Care of" and PO Box are not acceptable. Only UK addresses are eligible unless you or your spouse/civil partner are a Crown Employee or British Forces Posted Overseas)

House/Building number/name

Street, city, county and country

Postcode

Phone number

Alternate Phone number

Crown Employee?

If your address is outside the UK and you are a Crown Employee or the spouse/civil partner of a Crown Employee, please mark an X in this box. ☐

Date of birth (ddmmyyyy)

Town of Birth

Country of Birth

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6. Transferee details (new holder - continued)

National Insurance Number
(this can be found on a payslip or a letter from HMRC)

No National Insurance Number?
If you have never been issued with a National Insurance Number please mark an X in the box.

Legal entity identifier (Please note your identifier in the boxes provided. For Accounts in the name of a Corporate Body only.)
From 3 January 2018 you will need to give us a Legal Entity Identifier (LEI) if you are going to buy, sell or switch into or out of exchange traded instruments, such as investments trusts, exchange traded funds and company shares. For Information in how to apply for an LEI, please go to fca.org.uk and search for 'LEI update'.

If you have more than one LEI, please include details with this form. We may need to contact you for further information.

Are you a UK National only? (Please mark an X in the box)

Are you a UK National and National of one or more other countries? (Tick box and list all other countries below)

Are you a National of Non-UK countries only? (Tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

Driving Licence number (if applicable - 18 characters as shown on your photocard)

Employment status

Employed **Self-employed** **Unemployed** **Full-time education** **Pensioner**

Are you a resident in the UK for tax purposes? If yes please mark this box

Are you also a resident in any other countries for tax purposes? If so, please complete the following field

First country

First country tax identifier

No Tax Identification Number (First country)

(If you have never been issued with a Tax Identification Number (TIN) please mark an X in the box)

If no TIN is available please provide the reason

A – The relevant jurisdiction does not issue TINs

B – The account holder has not been issued with a TIN

C – Domestic law of the tax jurisdiction does not allow collection of the TIN

Additional country

Additional country tax identifier

No Tax Identification Number (First country)

(If you have never been issued with a Tax Identification Number (TIN) please mark an X in the box)

If no TIN is available please provide the reason

A – The relevant jurisdiction does not issue TINs

B – The account holder has not been issued with a TIN

C – Domestic law of the tax jurisdiction does not allow collection of the TIN

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7. Transferee Joint Holder Details

If you would like to have a new account with Joint Holders, please mark an X in this box. ☐

All holders must sign section 8 below. The Joint Holder Supplement Form must also be completed and returned with this application form. If any of the transferees are corporate bodies, please complete their details in section 5 above. A maximum of three holders are permitted in addition to the corporate body

8. Declaration and Signature

I/We understand that the information I/we provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the Client Terms.

I/We hereby accept the transfer of the shares, detailed in Section 3, into my/our account.

By signing this form I/we confirm that I/we do not have US citizenship, that I am not/we are not resident in the US, and that I/we do not have an obligation to pay tax to the US tax authorities on my/our worldwide income. I/We declare that:

- I am/we are 18 years of age or over.
- I/We have read and saved or printed the latest version of:
  the Key Features Document - Doing Business with Fidelity.
- I/We have read and accept the Client Terms.
- The information I have given on this form is correct to the best of my knowledge and I will tell Fidelity immediately if any of it changes.

Signature(s) of ALL Transferees and date (All account holders of transferee account must sign if joint renunciation is not set up on the existing account.)

✗ PRIMARY ACCOUNT HOLDER (AUTHORISED SIGNATURE)	✗ PRIMARY ACCOUNT PRINT NAME
✗ SECOND ACCOUNT HOLDER (AUTHORISED SIGNATURE)	✗ SECOND ACCOUNT PRINT NAME
✗ THIRD ACCOUNT HOLDER (AUTHORISED SIGNATURE)	✗ THIRD ACCOUNT PRINT NAME
✗ FOURTH ACCOUNT HOLDER (AUTHORISED SIGNATURE)	✗ FOURTH ACCOUNT PRINT NAME

Date: ☐☐ ☐☐ 2 0 ☐☐

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9. Intermediary Details (Transferee account)

You only need to complete this IF you have an adviser.
This section should only be completed by an intermediary. Please enter the appropriate details here for Transferee account and avoid supplying information on separate sheets.

Unique Adviser Number

FCA Firm ref No.
I confirm that I am registered with the Financial Conduct Authority (FCA) to conduct business and my authorisation number is:

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Verification of Identity

I/We confirm and consent to your reliance upon the fact that I/we have verified the identity of all transferees named in this application form in accordance with the Money Laundering Regulations and standards set out in Guidance issued by the JMLSG. Please mark an X in this box.

This confirmation must carry an original signature or electronic equivalent.

I/We confirm that I/we have provided the client with the appropriate documentation for their investment:
- The Key Features Document - Doing Business with Fidelity Adviser Solutions.
- The key information document applicable to their investment.
- The Fidelity Adviser Solutions Client Terms.

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